

**FENWICK & WEST LLP**

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**FACSIMILE TRANSMISSION****CONFIDENTIAL****DATE:** September 18, 2002**CLIENT-MATTER NO.:** 21434-06721**To:**

NAME	FAX NO.	PHONE NO.
Examiner Huedung X. Cao, Group Art Unit 2671 Technology Center 2600 USPTO	(703) 872-9314	

**FROM:** Richard Brown**PHONE:** (650) 858-7202**RE:** U.S. patent application serial no. 10/076,888**NUMBER OF PAGES WITH COVER PAGE:** 7**ORIGINAL WILL NOT FOLLOW****MESSAGE:**

Please see attached.

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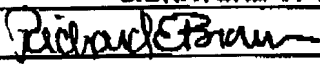
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PTO/SB/21 (modified)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office	
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/076,888
		Filing Date	February 15, 2002
		First Named Inventor	Saul S. Kato
		Group Art Unit Number	2671
		Examiner Name	Huedung X. Cao
Total Number of Pages in This Submission		6	Attorney Docket Number
			21434-06721

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s) [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Letter to the Examiner <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg No.:	Richard E. Brown/Reg. No. 47,453	Dated:	9/18/02

CERTIFICATE OF FACSIMILE TRANSMISSION			
I hereby certify that this correspondence, including the enclosures identified above, is being transmitted on the date shown below via facsimile to Examiner Huedung X. Cao, Group Art Unit 2671, Technology Center 2600, Commissioner for Patents at the facsimile number indicated below.			
Signature:			
Typed or Printed Name:	Richard E. Brown	Dated:	9/18/02
Facsimile Number:	1-703-872-8314		

21434/06721/DOCS/1297602.1

PTO/SB/17 (10-01)(modified)  
OMB 0651-0032

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)  
Rev. 10/2001U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 110.00)

## Complete if Known

Application Number	10/076.888
Filing Date	February 15, 2002
First Named Inventor	Saul S. Kato
Group Art Unit	2671
Examiner Name	Huedung X. Cao
Attorney Docket Number	21434-06721

## METHOD OF PAYMENT

## FEE CALCULATION (continued)

## 1. The Commissioner is hereby authorized to:

- ☒ Charge the indicated fees to the below mentioned deposit account
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account
- ☐ Applicant claims small entity status  
See 37 CFR 1.27

Deposit Account Number: 19-2556  
Deposit Account Name: FENWICK & WEST LLP

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2. ☐ Payment Enclosed:☐ Check ☐ Credit Card ☐ Other

## FEE CALCULATION (fees effective 10/01/2001)

## 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 0)

## 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

## 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>1</sup>	
116/\$400	216/\$200	Extension for response within second month <sup>1</sup>	
117/\$920	217/\$460	Extension for response within third month <sup>1</sup>	
118/\$1,440	218/\$720	Extension for response within fourth month <sup>1</sup>	
128/\$1,960	228/\$980	Extension for response within fifth month <sup>1</sup>	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$460	243/\$230	Design Issue Fee	
122/\$130	222/\$130	Petitions to the Commissioner	
126/\$180	226/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify): Terminal Disclaimer			110
Other fee (specify):			

SUBTOTAL (3) (\$ 110)

For	(Col. 1) No. of Existing Claims	(Col. 2) Highest No. Previously Paid For	(Col. 3) Extra**	Fee	Fee Due
TOTAL	minus*	20 or 0	=	x	=
INDEP	minus*	3 or 0	=	x	=
[ ] First presentation of multiple dependent claim					

<sup>1</sup> Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 0)

## SUBMITTED BY

Typed or Printed Name Richard E. Brown

Signature *Richard E. Brown*

## Complete (if applicable)

Reg. Number 47,453

Date 9/18/02

21434/06721/DOCS/1297597.1

<sup>1</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

PTO/SB/17 (10-01)(modified)  
OMB 0851-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)  
Rev. 10/2001U.S. Department of Commerce  
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148/\$740	248/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(d))	
Other fee (specify): Terminal Disclaimer			110
Other fee (specify):			
SUBTOTAL (3)			(\$) 110

(Col 1)	(Col 2)	(Col 3)	Fee	Fee Due
For	No. of Existing Claims	Highest No. Previously Paid For	Extra <sup>1</sup>	
TOTAL	minus <sup>2</sup>	20 or 0	x	
INDEP	minus <sup>2</sup>	3 or 0	x	
[ ] First presentation of multiple dependent claim				
SUBTOTAL (2)				(\$) 0

<sup>1</sup> Subtract the greater number of Col 2<sup>2</sup> If the difference between Col 1 and Col. 2 is less than zero, then enter "0" in Col. 3

## SUBMITTED BY

Typed or Printed Name Richard E. Brown

Signature

Richard E. Brown

Complete (if applicable)

Reg. Number 47,453

Date

9/18/02

21434/06721/DOCS/1297597 1

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